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JHB

PATENT

Attorney Docket No.: SSI-02001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger et al.

Serial No.: 09/841,800

Filed: April 24, 2001

For: **METHOD OF DEPOSITING
METAL FILM AND METAL
DEPOSITION CLUSTER TOOL
INCLUDING SUPERCRITICAL
DRYING/CLEANING MODULE**

) Group Art Unit: 2825

) Examiner: Caridad Everhart

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-22-04

By: Thomas B. Haverstock

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 10-25-04 By: [Signature]



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) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

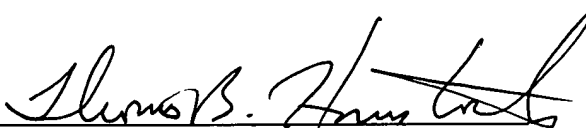
I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 19, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-22-04

By: 
Thomas B. Haverstock
Reg. No.: 32,571


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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 10/25/04 By: 



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Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

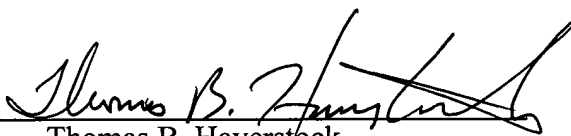
- U.S. Patent No. 6,021,791;
- U.S. Patent No. 6,537,916 B2;
- U.S. Patent No. 6,764,552 B1;
- U.S. Patent No. 2002/0164873 A1;
- U.S. Patent No. 2003/0106573 A1;
- U.S. Patent No. 2003/0125225 A1;
- U.S. Patent No. 2003/0217764 A1;
- U.S. Patent No. 2004/0087457 A1;
- U.S. Patent No. 2004/0177867 A1;
- U.S. Patent No. 2004/0103922 A1;

- U.S. Patent No. 2004/0112409 A1; and
- PCT Publication No. WO 01/33613 A2.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-22-04

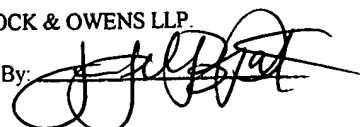
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HAVERSTOCK & OWENS LLP
Date: 10-25-04 By: 

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SSI-02001

Serial No.: 09/841,800

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicants: Maximilian A. Biberger et al.

(37 CFR § 1.98(b))

Filing Date: April 24, 2001

Group Art Unit: 2825

U.S. PATENT DOCUMENTS

| Examiner Initials | | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
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| | AA | 6,021,791 | 02/08/00 | Dryer et al. | 134 | 100.1 | 06/29/98 |
| | AB | 6,537,916 B2 | 03/25/03 | Mullee et al. | 438 | 692 | 10/18/01 |
| | AC | 6,764,552 B1 | 07/20/04 | Joyce et al. | 134 | 3 | 11/21/02 |
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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

| | | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation | |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | WO 01/33613 A2 | 05/10/01 | PCT | H01L | 21/00 | | X |
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| | AN | | | | | | | |
| | AO | | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.